

NOV 08 2004

PTO/SB/17 (10-04v2)  
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# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$180.00)

## Complete If Known

Application Number 09/385,812  
 Filing Date August 30, 1999  
 First Named Inventor Taylor, Charles S.  
 Examiner Name Lewis, W.  
 Art Unit 3731  
 Attorney Docket No. GUID-006CON3

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number Law Office of A.W. Cannon  
 Deposit Account Name 50-2653

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

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## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	9	-20** = 0	X	18	= 0
Independent Claims	3	-3** = 0	X	88	= 0
Multiple Dependent					

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity / Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath		
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		
1053 130	1053 130	Non-Examination specification		
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination		
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action		
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		
1251 110	2251 55	Extension for reply within first month		
1252 430	2252 215	Extension for reply within second month		
1253 980	2253 490	Extension for reply within third month		
1254 1,530	2254 765	Extension for reply within fourth month		
1255 2,080	2255 1,040	Extension for reply within fifth month		
1401 340	2401 170	Notice of Appeal		
1402 340	2402 170	Filing a brief in support of an appeal		
1403 300	2403 150	Request for oral hearing		
1451 1,510	1451 1,510	Petition to institute a public use proceeding		
1452 110	2452 55	Petition to revive - unavoidable		
1453 1,370	2453 685	Petition to revive - unintentional		
1501 1,370	2501 685	Utility issue fee (or reissue)		
1502 490	2502 245	Design issue fee		
1503 660	2503 330	Plant issue fee		
1460 130	1460 130	20 Petitions to the Commissioner		
1807 50	1807 50	Processing fee under 37 CFR 1.17(a)		
1806 180	1806 180	Submission of Information Disclosure Stmt		
8021 40	8021 40	Recording each patent assignment per property (times number of properties)		
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))		
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))		
1801 790	2801 395	Request for Continued Examination (RCE)		
1802 900	1802 900	Request for expedited examination of a design application		
Other fee (specify)				180.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$180.00)

## SUBMITTED BY

Name (Print/Type) Alan W. Cannon

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(Complete if applicable)

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Signature

Date

11/2/04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Typed or Printed Name		Maria J. Sousa	
Signature		Date 12/2/2004	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>  Address to: BOX: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	GUID-006CON3	
	First Named Inventor	Taylor, Charles S.	
	Application Number	09/385,812	
	Filing Date	August 30, 1999	
	Group Art Unit	3731	
	Examiner Name	Lewis, W.	
	Title	Access Platform for Internal Mammary Dissection	

Sir:

This is an Information Disclosure Statement submitted Supplemental to the Information Disclosure Statement Filed on January 19, 2000, for the Examiner's consideration. This is being filed **after** three months of the filing date or **after** the mailing date of the first Office Action on the merits, whichever event occurred last but **before** the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-1449 listing the reference accompanies this paper, together with a copies of the references listed. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

11/09/2004 SSESNE1 00000065 09385812 160.00 00  
01 10:1806

Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) for submission of this Information Disclosure Statement to deposit account No. 50-2653, order No. GUID-006CON3 may be charged therefore.


Date: \_\_\_\_\_

11/2/04

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Respectfully submitted,  
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By: \_\_\_\_\_

  
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Registration No. 34,977

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ATTY. DOCKET NO.  
GUID-006CON3

SERIAL NO.  
09/385,812

Form PTO-1449 (Modified)

(Use several sheets if necessary)

APPLICANT

Taylor, Charles S.

FILING DATE  
August 30, 1999

GROUP 3731

[illegible]

**EXAMINER**

My BuV

DATE CONSIDERED

01/20/2009

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /V.B./